



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Schultz et al.  
Serial No.: 09/679,718  
For: ILLUMINATION SYSTEM PARTICULARLY FOR MICROLITHOGRAPHY  
Filed: September 29, 2000  
Examiner: Not Yet Assigned  
Art Unit: 2876

Attorney Docket: 637.0001USQ

**Box MISSING PARTS  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney form executed by Jörg Schultz, Johannes Wangler, Karl-Heinz Schuster, Udo Dinger, Wolfgang Singer, and Martin Antoni;
- ☐ Declaration Claiming Small Entity Status (Small Business Concern);
- ☐ \_\_\_\_\_ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to : **Carl-Zeiss-Stiftung Trading as Carl Zeiss**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ A Certified Copy of the Priority Application;
- ☒ Information Disclosure Statement (Form - 1449); and
- ☒ A copy of the Notice to File Missing Parts of Application Filing Date Granted.
- ☒ A Preliminary Amendment (with formal copy of Figure 69)



\_\_\_\_ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

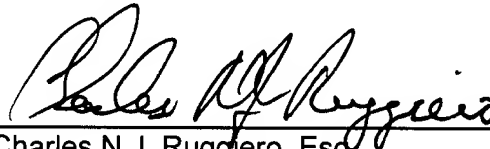
The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	65 - 20 =	45	x \$18.00	\$810.00
Independent Claims	2 - 3 =	0	x \$80.00	\$0
Multiple Dependent Claim Fee		x \$270.00 = \$0.00		
<b>TOTAL FILING FEE</b>		<b>\$PAID</b>		

1/2 FILING FEE FOR SMALL ENTITY	\$
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- ☒ A check in the amount of \$ 170.00 to cover the surcharge (\$130) and Assignment recordation sheet (\$40) is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

February 12, 2001  
Date

  
Charles N.J. Ruggiero, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Attorney for Applicants  
Registration No. 28,468  
Telephone: (203) 327-4500  
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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON February 12, 2001.

Heather A. Fiorella  
NAME.

  
SIGNATURE

02/12/01  
DATE